



#4

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Tim A. Kelly et al.  
Application No. : 09/874,913  
Filed : June 4, 2001  
For : SELF-CONTAINED DEVICES FOR DETECTING BIOLOGICAL  
CONTAMINANTS

Art Unit : 1743  
Docket No. : 150026.463  
Date : November 5, 2001

Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

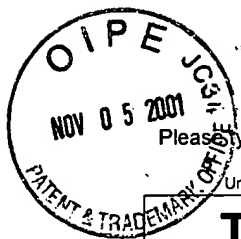
Commissioner for Patents:

In response to the Notice to File Missing Parts dated August 3, 2001, please find enclosed the following documents:

X Fee  
X Oath/Declaration (2 sets/4 pages total)  
X Copy of Notice to File Missing Parts  
X Election and Power of Attorney (2 pages)  
(+copy of Assignment (2 sets/6 pages total))

Respectfully submitted,  
Seed Intellectual Property Law Group PLLC

William T. Christiansen, Ph.D.  
Registration No. 44,614



12-11-01

SFCTOR  
\$  
#14

EXPRESS MAIL NO. EL897865021US

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.


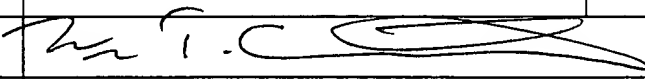
**TRANSMITTAL  
FORM**(To be used for all correspondence  
after initial filing)

Application Number	09/874,913
Filing Date	June 4, 2001
First Named Inventor	Tim A. Kelly
Group Art Unit	1743
Examiner Name	
Attorney Docket No.	150026.463

**ENCLOSURES (check all that apply)**


- |   |   |  |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form                                      | <input type="checkbox"/> Assignment Papers<br>(for an Application)  | <input type="checkbox"/> CD(s), Number<br>of CD(s) _____   |
| <input checked="" type="checkbox"/> Fee Attached  | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> After Allowance<br>Communication to Group                               |
| <input type="checkbox"/> Amendment/Response   | <input type="checkbox"/> Request for Corrected Filing<br>Receipt  | <input type="checkbox"/> Appeal Communication to<br>Board of Appeals and<br>Interferences        |
| <input type="checkbox"/> After Final  | <input type="checkbox"/> Licensing-related Papers   | <input type="checkbox"/> Appeal Communication to<br>Group (Appeal Notice, Brief,<br>Reply Brief) |
| <input type="checkbox"/> Affidavits/declaration(s)  | <input type="checkbox"/> Petition   | <input type="checkbox"/> Proprietary Information   |
| <input checked="" type="checkbox"/> Extension of Time Request                                 | <input type="checkbox"/> Petition to Convert to a<br>Provisional Application                              | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Express Abandonment<br>Request                                       | <input checked="" type="checkbox"/> Power of Attorney,<br>Revocation, Change of<br>Correspondence Address | <input checked="" type="checkbox"/> Return Receipt Postcard                                      |
| <input type="checkbox"/> Information Disclosure<br>Statement; Form PTO-1449                   | <input checked="" type="checkbox"/> Declaration   | <input checked="" type="checkbox"/> Additional Enclosure(s)<br>(please identify below):          |
| <input type="checkbox"/> Cited References   | <input checked="" type="checkbox"/> Statement under 37 CFR<br>3.73(b)                                     | <u>Copy of Notice to File Missing</u>  |
| <input type="checkbox"/> Certified Copy of Priority<br>Document(s)                            | <input type="checkbox"/> Terminal Disclaimer  | <u>Parts of Nonprovisional</u>   |
| <input checked="" type="checkbox"/> Response to Missing Parts<br>under 37 C.F.R. 1.52 or 1.53 | <input type="checkbox"/> Small Entity Statement   | <u>Application; Copy of Assignment</u>   |
| <input type="checkbox"/> Response to Missing<br>Parts/Incomplete Application                  | <input type="checkbox"/> Request for Refund   |  |

Remarks**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Individual Name	William T. Christiansen, Ph.D.	 00500 PATENT TRADEMARK OFFICE
Signature		
Date	November 5, 2001	

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on the date specified below.

Typed or printed name	Rozell A. Price	
Signature		Date: November 5, 2001



EXPRESS MAIL NO. EL897865021US

PTO/SB/17 (11-00)

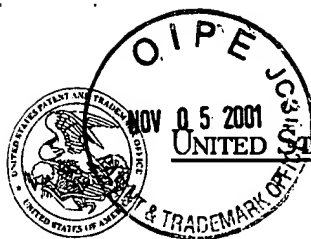
Approved for use through 10/31/2002. OMB 0651-0032

FEE TRANSMITTAL for FY 2002			Complete if Known		
Patent fees are subject to annual revision.			Application Number	09/874,913	
			Filing Date	Jun 4, 2001	
			First Named Inventor	Tim A. Kelly	
			Examiner Name		
			Group Art Unit	1743	
TOTAL AMOUNT OF PAYMENT (\$)			999	Attorney Docket No.	150026.463

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																																																																																			
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number: 19-1090</p> <p>Deposit Account Name: Seed Intellectual Property Law Group PLLC</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 and credit any overpayment to Deposit Account Number above.</p> <p><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>		<p>3. ADDITIONAL FEES</p> <table border="1"><thead><tr><th colspan="2">Large Entity</th><th colspan="2">Small</th><th rowspan="2">Fee Description</th><th rowspan="2">Fee Paid</th></tr><tr><th>Fee Code</th><th>Fee (\$)</th><th>Fee Code</th><th>Fee (\$)</th></tr></thead><tbody><tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td>65</td></tr><tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr><tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr><tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr><tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td>55</td></tr><tr><td>116</td><td>400</td><td>216</td><td>200</td><td>Extension for reply within second month</td><td></td></tr><tr><td>117</td><td>920</td><td>217</td><td>460</td><td>Extension for reply within third month</td><td></td></tr><tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>128</td><td>1,960</td><td>228</td><td>980</td><td>Extension for reply within fifth month</td><td></td></tr><tr><td>119</td><td>320</td><td>219</td><td>160</td><td>Notice of Appeal</td><td></td></tr><tr><td>120</td><td>320</td><td>220</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>121</td><td>280</td><td>221</td><td>140</td><td>Request for oral hearing</td><td></td></tr><tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr><tr><td>141</td><td>1,280</td><td>241</td><td>640</td><td>Petition to revive - unintentional</td><td></td></tr><tr><td>142</td><td>1,280</td><td>242</td><td>640</td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>143</td><td>460</td><td>243</td><td>230</td><td>Design issue fee</td><td></td></tr><tr><td>144</td><td>620</td><td>244</td><td>310</td><td>Plant issue fee</td><td></td></tr><tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td></td></tr><tr><td>126</td><td>180</td><td>126</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td></td></tr><tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>146</td><td>740</td><td>246</td><td>370</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr><tr><td>149</td><td>740</td><td>249</td><td>370</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr><tr><td>179</td><td>740</td><td>279</td><td>370</td><td>Request for Continued Examination (RCE)</td><td></td></tr><tr><td>169</td><td>900</td><td>169</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr></tbody></table>		Large Entity		Small		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	105	130	205	65	Surcharge - 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SUBMITTED BY		Registration No.		00500
Name (Print/Type)	William T. Christiansen, Ph.D.	Attorney/Agent)	44,614	
Firm Name/Address				
Signature		Date	November 5, 2001	PATENT TRADEMARK OFFICE

EXPRESS MAIL NO. EL897865021US



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/874,913	06/04/2001	Tim A. Kelly	150026.463

CONFIRMATION NO. 3591

## FORMALITIES LETTER



\*OC000000006383418\*

00500  
SEED INTELLECTUAL PROPERTY LAW GROUP PLLC  
701 FIFTH AVE  
SUITE 6300  
SEATTLE, WA 98104-7092

Date Mailed: 08/03/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$513.
  - \$378 for 42 total claims over 20.
  - \$135 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 933.**

*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/13/2001 AGOITOM 00000103 09874913  
370.00 0P  
365.00 0P  
140.00 0P  
65.00 0P  
01 FC:201  
02 FC:203  
03 FC:204  
04 FC:205